



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
(Atty. Docket No. 97,002-C)

In the Application of:

Krafft et. al

Serial No.: 09/369,236

Filed: February 5, 1997

Title: Amyloid  $\beta$  Protein (Globular  
Assembly and Uses Thereof)

Examiner: not assigned

Group Art Unit: 1641

**REQUEST FOR CORRECTED FILING RECEIPT**

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, D.C. 20231

Dear Madam or Sir:

Applicants respectfully request issuance of a corrected filing receipt for the above-identified application. A copy of the incorrect Filing Receipt received from the U.S. Patent and Trademark Office with corrections appropriately indicated is enclosed. The \$25.00 fee for this request is also enclosed. Please correct the Filing Receipt as follows:

**Incorrect Title:**

AMYLOID PROTEIN (GLOBULAR ASSEMBLY AND USES THEREOF)

**Corrected Title:**

AMYLOID  $\beta$  PROTEIN (GLOBULAR ASSEMBLY AND USES THEREOF)

**Incorrect Applicant(s):**

GRANT A. KRAFFT, RESIDENCE NOT PROVIDED

**Corrected Applicant(s):**

GRANT A. KRAFFT, GLENVIEW, IL; WILLIAM L. KLEIN, WINNETKA, IL; BRETT A. CHROMY, EVANSTON, IL; MARY P. LAMBERT, GLENVIEW, IL; CALEB E. FINCH, ALTADENA, CA; TODD MORGAN,

· MANHATTAN BEACH, CA; PAT WALS, LOS ANGELES, CA; IRINA ROZOVSKY, PASADENA, CA; ANN BARLOW, EVANSTON, IL.

**Incorrect Continuing Data as Claimed by Applicant:**

THIS APPLN IS A CIP OF 08/796,089 02/05/97  
PROVISIONAL APPLICATION NO. 60/095,264 08/04/98  
AND A CON OF PCT/US98/02426 02/05/98

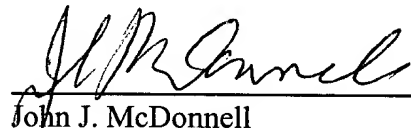
**Corrected Continuing Data as Claimed by Applicant:**

THIS APPLN IS A CIP OF 08/796,089 02/05/97  
A CIP OF PROVISIONAL APPLICATION NO. 60/095,264 08/04/98  
AND A CIP OF PCT/US98/02426 02/05/98

Correction of the Filing Receipt as indicated herein and on the enclosed, marked-up copy of the incorrect Filing Receipt and prompt issuance of a Corrected Filing Receipt are earnestly solicited. Please credit any overpayment of fees or charge any additional fees occasioned by this request to Deposit Account No. 13-2490.

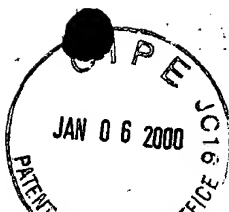
Respectfully submitted,

Date: January 6, 2000

  
\_\_\_\_\_  
John J. McDonnell  
Reg. No. 26,949

**McDonnell Boehnen Hulbert & Berghoff**  
32nd Floor, 300 South Wacker Drive  
Chicago, IL 60606  
Telephone: (312) 913-0001  
Facsimile: (312) 913-0002

FILING RECEIPT



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTORNEY DOCKET NO.	DRWGS	TOT CL	IND CL
09/369,236	08/04/99	1641	\$596.00	97002-C	9	44	1

MCDONNELL BOEHNEN HULBERT & BERGHOF  
32ND FLOOR  
300 SOUTH WACKER DRIVE  
CHICAGO IL 60606

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts of Application" ("Missing Parts Notice") in this application, please submit any corrections to this Filing Receipt with your reply to the "Missing Parts Notice." When the PTO processes the reply to the "Missing Parts Notice," the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s) GRANT A. KRAFFT, ~~RESIDENCE NOT PROVIDED.~~

CONTINUING DATA AS CLAIMED BY APPLICANT-

THIS APPLN IS A CIP OF 08/796,089 02/05/97  
A CIP OF PROVISIONAL APPLICATION NO. 60/095,264 08/04/98  
AND A CON OF PCT/US98/02426 02/05/98  
CIP

IF REQUIRED, FOREIGN FILING LICENSE GRANTED 09/10/99 \*\* SMALL ENTITY \*\*  
TITLE  $\beta$   
AMYLOID PROTEIN (GLOBULAR ASSEMBLY AND USES THEREOF)

PRELIMINARY CLASS: 424

Glenview, IL; William L. Klein, Winnetka, IL; Brett A. Chromy, Evanston, IL; Mary P. Lambert, Glenview, IL; Caleb E. Finch, Altadena, CA; Todd Morgan, Manhattan Beach, CA; Pat Wals, Los Angeles, CA; Irina Rozovsky, Pasadena, CA; Ann Barlow, Evanston, IL.

DATA ENTRY BY: JONES, ANN

TEAM: 06 DATE: 09/10/99

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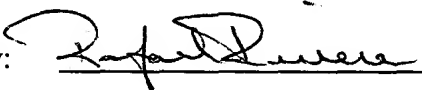
(See reverse for new important information)



## CERTIFICATE OF EXPRESS MAILING

Express Mail No.: EM366141395US  
Deposited: January 6, 2000

I hereby certify that the attached correspondence, identified below, is being deposited with the United States Postal Service as "Express Mail Post Office to Addressee" under 37 CFR § 1.10 on the date indicated above in an envelope addressed to: Box Missing Parts, Assistant Commissioner for Patents, Washington, DC 20231.

By:   
Rafael Rivera  
(person actually depositing)

In re Application of: Krafft, et al.

Serial No.: 09/369,236

Filing Date: August 4, 1999

Entitled: Amyloid  $\beta$  Protein (Globular Assembly and Uses Therefor)

Attorney Docket No: 97,002-C

Examiner: not assigned

Group Art Unit: 1641

- ☒ Transmittal Letter
- ☒ Response to Notice to File Missing Parts of Application mailed September 13, 1999
- ☒ Copy of Notice to File Missing Parts of Application
- ☒ Request for Corrected Filing Receipt
- ☒ Marked-up Copy of Incorrect Filing Receipt
- ☒ Two-Month Extension of Time
- ☒ Check No. 17799 in the amount of \$65.00, Check No. 18761 in the amount of \$190.00, and Check No. ~~18773~~ in the amount of \$25.00
- ☒ Return Receipt Postcard



01-10-00

SECTOR

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
(Atty. Docket No. 97,002-C)

In re Application of:

Krafft et al.

Serial No.: 09/369,236

Filed: August 4, 1999

For: Amyloid  $\beta$  Protein (Globular  
Assembly and Uses Thereof)

Examiner: not assigned

Group Art Unit: 1641

TRANSMITTAL LETTER

Assistant Commissioner for Patents  
Attention: Box Missing Parts  
Customer Service Center  
Initial Patent Examination Division  
Washington, D.C. 20231

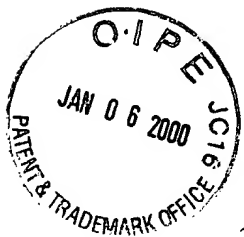
Dear Madam or Sir:

In regard to the above-identified application:

1. Enclosed please find:

- a) Response to Notice to File Missing Parts of Application
- b) Copy of Notice to File Missing Parts of Application
- c) Declaration and Power of Attorney
- d) Request for Corrected Filing Receipt
- e) Marked-up Copy of Incorrect Filing Receipt
- f) Petition for a Two-Month Extension of Time
- g) Check No. 17799 in the amount of \$65.00  
Check No. 18761 in the amount of \$190.00  
Check No. 18773 in the amount of \$25.00
- h) Return Receipt Postcard

2. Please charge any additional fees or credit overpayment to Deposit Account No. 13-2490. A duplicate copy of this transmittal is enclosed for that purpose if



required.

3. CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8: The undersigned hereby certifies that this Transmittal Letter and all paper(s) described in paragraph 1 above, are being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Asst. Commissioner for Patents, Washington, DC 20231 on January 6, 2000.

Dated: January 6, 2000

John J. McDonnell  
Reg. No. 26,949

**McDonnell Boehnen Hulbert & Berghoff**  
32nd Floor, 300 South Wacker Drive  
Chicago, Illinois 60606  
Phone: (312) 913-0001  
Fax: (312) 913-0002



**UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office**

Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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09/369,236 08/04/99 KRAFFT

G 97002-C

0262/0913

MCDONNELL BOEHNEN HULBERT & BERGHOFF  
32ND FLOOR  
300 SOUTH WACKER DRIVE  
CHICAGO IL 60606

NOT ASSIGNED

1641

DATE MAILED:

09/13/99

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
**Filing Date Granted**

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the **SURCHARGE** set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a

☐ small entity (statement filed) ☒ non-small entity is \$ 130.

☐ 1. The statutory basic filing fee is:

- ☐ missing.  
☐ insufficient.

Applicant must submit \$\_\_\_\_\_ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2. The following additional claims fees are due:

\$\_\_\_\_\_ for \_\_\_\_\_ total claims over 20.

\$\_\_\_\_\_ for \_\_\_\_\_ independent claims over 3.

\$\_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☒ is missing or unsigned.  
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

A JONES

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

01/13/2000 NHASSANI 00000005 09369236

03 FC:205

65.00 DP

AB CG